# Gender Effects on the Clinical Phenotype in Japanese Patients with Spinal Muscular Atrophy

## MAWADDAH AR ROCHMAH<sup>1</sup>, AI SHIMA<sup>1,2</sup>, NUR IMMA FATIMAH HARAHAP<sup>1</sup>, EMMA TABE EKO NIBA<sup>1</sup>, NAOYA MORISADA<sup>1,3</sup>, SHINICHIRO YANAGISAWA<sup>4</sup>, TOSHIO SAITO<sup>5</sup>, KAORI KANEKO<sup>6</sup>, KAYOKO SAITO<sup>6</sup>, ICHIRO MORIOKA<sup>7</sup>, KAZUMOTO IIJIMA<sup>7</sup>, POH SAN LAI<sup>8</sup>, YOSHIHIRO BOUIKE<sup>9</sup>, HISAHIDE NISHIO<sup>1,7\*</sup>, and MASAKAZU SHINOHARA<sup>1</sup>

<sup>1</sup>Department of Community Medicine and Social Health Care, Kobe University Graduate School of Medicine, Kobe, Japan;

<sup>2</sup>Institute of Industrial Science, University of Tokyo, Tokyo, Japan;

<sup>3</sup> Department of Clinical Genetics, Hyogo Prefectural Kobe Children's Hospital, Kobe, Japan;.

<sup>4</sup>Faculty of Pharmaceutical Sciences, Himeji Dokkyo University, Himeji, Japan;

<sup>5</sup>Division of Child Neurology, Department of Neurology, National Hospital Organization Toneyama National Hospital, Toyonaka, Japan;

<sup>6</sup>Institute of Medical Genetics, Tokyo Women's Medical University, Tokyo, Japan;

<sup>7</sup>Department of Pediatrics, Kobe University Graduate School of Medicine, Kobe, Japan;

<sup>8</sup>Department of Paediatrics, Yong Loo Lin School of Medicine, National University of Singapore, Singapore; <sup>9</sup>Faculty of Nutrition, Kobe Gakuin University, Kobe, Japan

Received 1 May 2017/ Accepted 20 June 2017

Keywords: Spinal muscular atrophy, gender, clinical phenotype, SMN2, NAIP

Background: Spinal muscular atrophy (SMA) is a neuromuscular disease caused by a mutation in *SMN1*. SMA is classified into three subtypes (types 1, 2, 3) based on achieved motor milestones. Although *NAIP* and *SMN2* are widely accepted as SMA-modifying factors, gender-related modifying factors or gender effects on the clinical phenotype are still controversial. Methods: A total of 122 Japanese patients with SMA, of which *SMN1* was homozygously deleted, were analyzed from the perspective of the achieved motor milestone, *NAIP* status and *SMN2* copy number. Results: A predominance of male patients was observed in SMA type 3 (the walker group) without *NAIP*-deletion or with high *SMN2* copy number (3 or 4 copies). Conclusion: We suggest the presence of gender-related modifiers on disease severity in SMA patients. The modifiers may contribute only in the presence of *NAIP* and a high copy number of *SMN2*.

#### **INTRODUCTION**

Spinal muscular atrophy (SMA) is a common neuromuscular disorder with autosomal recessive inheritance. SMA is clinically classified into three subtypes: type 1 (severe form, onset of symptoms at age of <6 months, unable to sit without aid); type 2 (intermediate form, onset of symptoms at age of 6-18 months, able to sit alone but unable to stand or walk without aid); and type 3 (mild form, onset of symptoms at age of >18 months, able to stand and walk without aid) (11). The responsible gene of the disease is the survival motor neuron 1 gene (*SMN1*), which was identified in the SMA locus in chromosome 5q (7). *SMN1* is homozygously deleted in 95% of SMA patients and deleteriously mutated in the remaining patients (7).

The neuronal apoptosis inhibitory protein gene (*NAIP*) was also identified as an SMA-related gene within the SMA locus (14). *NAIP* deletion is found most frequently in SMA type 1 (~50% of the patients with SMA type 1), and seldom in other subtypes (14). On the other hand, the survival motor neuron 2 gene (*SMN2*) in the SMA locus, a highly homologous gene of *SMN1*, encodes the same SMN protein as *SMN1* does, and multiple copies of *SMN2* may compensate to some degree for the lack of *SMN1* (17). Thus, *NAIP* and *SMN2* are now widely accepted as SMA-modifying genetic factors.

Gender effect on the clinical phenotype of SMA has been controversial until now. Some researchers reported that the symptoms in female siblings were milder than those of the male siblings in the affected SMA families (4,13). In addition, predominance of female patients with SMA type 3 was reported (3). However, other researchers observed a predominance of male patients in milder forms of SMA (5,6,18). In this study, to clarify the gender effects on the disease severity or clinical phenotype of SMA, we analyzed the SMA patients referred to our laboratory.

Phone: +81-78-382-5540 Fax: +81-78-382-5559 E-mail: nishio@med.kobe-u.ac.jp

## M. AR ROCHMAH et al.

## PATIENTS AND METHODS

#### Patients

A total of 122 Japanese patients (60 females and 62 males) were enrolled in this study. They were referred to Kobe University from 1996 to 2015, and fulfilled the diagnostic criteria defined by the International SMA Consortium (11). Informed consent was obtained from these patients and/or their parents. This study, including the genetic analysis, was approved by the Ethics Committee of Kobe University Graduate School of Medicine, Japan.

#### SMN and NAIP deletion test

To detect *SMN* and *NAIP* deletion, PCR restriction fragment length polymorphism analysis was performed according to the method of van der Steege *et al.* (16). *NAIP* exon 5 was detected using the PCR method of Roy *et al.* (14).

## Copy number analysis of the SMN genes

The copy number of the *SMN* genes was determined by real-time PCR (15) or the multiplex-dependent probe amplification (MLPA) assay (1).

#### Statistics

Correlation of *SMN2* copy number with clinical subtype was determined using chi-squared tests and logistic regression analysis. A *P*-value of less than 0.05 was considered to indicate a significant difference. To avoid  $\alpha$ -error inflation in the separate analyses of *NAIP*-deleted and non-*NAIP*-deleted patients, and of low- and high-*SMN2*-copy-number patients, a *P*-value of less than 0.025 was considered to indicate a significant difference. The software used for statistical analysis was Statistical Program for Social Science (SPSS) version 16 (IBM Corporation, Palo Alto, CA, USA).

## RESULTS

To clarify the effect of gender on the disease severity of SMA, we analyzed the relations between gender and clinical subtypes in 122 Japanese SMA patients. A significant predominance of male patients (or a rarity of female patients) was found in SMA type 3 (p<0.01) (Table I). Then, the patients were divided into two groups based on the "obtaining the ability to walk without aid in the life", the non-walkers (SMA types 1 and 2) and the walkers (SMA type 3). A 2×2 contingency table ([male and female] × [non-walker and walker]) demonstrated a significantly higher frequency of male patients (or a significantly lower frequency of female patients) in the walkers (p<0.01) (Table I).

Then, we examined the gender effect in the patients with or without *NAIP* deletion, and we found a significant difference between males and females only in the patients without *NAIP* deletion. Only in the patients without *NAIP* deletion, the  $2\times 2$  contingency table demonstrated a significantly higher frequency of male patients (or a significantly lower frequency of female patients) in the walkers (p<0.05) (Table II).

(A) Gender and clinical subtype				(B) Gender and walking ability		
	Type 1	Type 2	Type 3		Non-walker	Walker
M (n=62)	28	15	19	M (n=62)	43	19
F (n=60)	33	22	5	F (n=60)	55	5
		$\chi 2 = 9.87, c$	df = 2, P < 0.01		$\chi 2 = 9.61, \alpha$	df = 1, P < 0.01

#### Table I. Gender and motor function

## Table II. Gender, NAIP and walking ability

(A) Patients with NAIP deletion			(B) Patients w	(B) Patients without NAIP deletion			
	Non-walker	Walker		Non-walke	r Walker		
M (n=17)	15	2	M (n=45)	28	17		
F (n=25)	25	0	F (n=35)	30	5		
			_		$\chi^2$ =5.45, df=1, P<0.05		

We also examined the gender effect in the patients with low *SMN2* copy number (1 or 2) and high *SMN2* copy number (3 or 4). Only in the patients with high *SMN2* copy number, the  $2\times2$  contingency table demonstrated a significantly higher frequency of male patients (or a significantly lower frequency of female patients) in the walkers (p<0.01) (Table III).

## GENDER EFFECTS ON SMA PHENOTYPE

#### Table III. Gender, SMN2 and walking ability

(A) Patients with 1–2 SMN2 copies			(B) Patients v	(B) Patients with 3-4 SMN2 copies			
	Non-walker	Walker		Non-walker	Walker		
M (n=25)	24	1	M (n=37)	19	18		
F (n=29)	29	0	F (n=31)	26	5		
				χ	<sup>2</sup> =5.45, df=1, P<0.05		

To clarify which of the studied parameters, gender (male or female), *NAIP* (presence or absence), and *SMN2* (copy number) affects the ability to walk without aid in the life, we performed a multiple logistic regression analysis with "the ability to walk without aid in the life" as a dependent variable. Here, we used the forward stepwise selection method to evaluate the relative contribution of gender, *NAIP*, and *SMN2* to the outcome. The best model we obtained in this stepwise selection process included gender and *SMN2*, but not *NAIP* (Table IV). According to our calculation, the adjusted odds ratios of <male to female> and <high copy number of *SMN2* to low copy number of *SMN2* for "the ability to walk without aid" were 3.902 and 37.382, respectively.

<b>T</b> 11 <b>T T T</b>	<b>T 1</b> . •	•	
	Locietic	rogroction	000000000
	LOPISHC	TEATESSIOU	anaiysis

Variables	В	Standard Error	Wald	df	P Value	Exp (B)	Confidence Intervals
Gender	1.362	0.633	4.623	1	< 0.05	3.902*	1.128-13.501
SMN2	3.621	1.022	12.547	1	< 0.001	37.382*	5.040-277.243

"B" designates coefficient values. "Exp(B)" designates odds ratios. \* designates odds ratio of male to female.

## DISCUSSION

Our study revealed that a relatively larger number of male patients were observed in SMA type 3, though there were no significant gender differences with regard to the number of patients in SMA type 1 or 2.

This finding suggested two possible gender-related modifiers: (1) One is the presence of a male-related modifier which lead to increasing the number of male patients with SMA type 3, and (2) the other is the presence of a female-related modifier which lead to decreasing the number of female patients with SMA type 3. The male-related modifier might delay the onset of SMA, while the female-related modifier might prevent the development of SMA. Oprea et al. reported that asymptomatic *SMN1*-deleted females exhibited significantly higher expression of plastin 3 (PLS3, T-plastin or T-fimbrin; MIM 300131, Xq23) in their lymphoblasts than did their SMA-affected counterparts (13), supporting the hypothesis of female-related modifiers. PLS3 is an actin-binding protein that is expressed in normal cells of solid tissues and transformed fibroblasts (8). PLS3 has a calcium-binding domain (9), and calcium binding may be essential for plastin 3 function in SMN-deficient motoneurons (10).

As for the relationships between gender and *NAIP*, or between gender and *SMN2*, we found a significantly higher frequency of male patients in the walker group without *NAIP*-deletion or with a high *SMN2* copy number (3 or 4 copies). It means that gender-related modifiers may have some effects on the clinical phenotype of SMA in the presence of *NAIP* and a high copy number of *SMN2*. According to the report of Oprea et al., non-symptomatic individuals with *SMN1* deletion carried a high *SMN2* copy number (3 or 4 copies) (13).

Then, a question arises why the gender-related modifiers have effects only in the presence of *NAIP* and a high copy number of *SMN2*. The absence of *NAIP* may mark the extent of the deletion involving *SMN1* in SMA chromosome (2). On the other hand, the presence of *NAIP* implies no deletion of *SMN1*; but it suggests that an *SMN1*-to-*SMN2* gene conversion event has occurred at least on one chromosome, which may decrease the *SMN1* copy numbers and increase the *SMN2* copy numbers (12). Thus, the question is paraphrased into "why the gender-related modifiers have effects only in the patients with a high copy number of *SMN2*." Our answer to this question is that in SMA patients, negative effect of a low *SMN2* copy number on the clinical phenotype may be much larger than positive effect of the gender-related modifiers may be masked by negative effect of a low *SMN2* copy number.

In this study, we suggested the presence of gender-related modifiers on disease severity in SMA patients, and the modifiers work only in the presence of *NAIP* and a high copy number of *SMN2*. Indeed, we could not fully neglect the possibility that our study may be subject to selection bias or sampling bias, i.e. the patients studied may not be representative of the Japanese SMA population. If more Japanese patients with SMA could have been recruited for our study, we could draw more solid conclusions about gender-related modifiers.

## M. AR ROCHMAH et al.

## **DECLARATION OF INTEREST**

The authors declare that there is no conflict of interests regarding the publication of this paper.

#### ACKNOWLEDGMENTS

This research was supported in part by the Practical Research Project for Rare/Intractable Diseases from the Japan Agency for Medical Research and Development, Grant No. 16ek0109086h0002 (title "Practical study for multicenter cooperative and investigator initiated clinical trial using valproic acid in childhood onset spinal muscular atrophy").

#### REFERENCES

- 1. Arkblad, E., Tulinius, M., Kroksmark, A., Henricsson, M., and Darin, N. 2009. A population-based study of genotypic and phenotypic variability in children with spinal muscular atrophy. Acta Paediatr 98: 865-872.
- 2. Burghes, A.H.M. 1997. When is deletion not a deletion? When it is converted. Am J Hum Genet 61: 9-15.
- 3. Chung, B.H.Y., Wong, V.C.N., and Ip, P. 2004. Spinal muscular atrophy: survival pattern and functional status. Pediatrics 114: 548-553.
- 4. Furukawa, T., Nakao, K., Sugita, H., and Tsukagoshi, H. 1968. Arch Neurol 19(2): 156-162.
- 5. Hausmanowa-Petrusewicz, I., Zaremba, J., Borkowska, J., and Szirkowiec, W. 1984. Chronic proximal spinal muscular atrophy of childhood and adolescence: gender influence. J Med Genet 21: 447-450.
- Jedrzejowska, M., Milewski, M., Zimowski, J., Borkowska, J., Kostera-Pruszczyk, A., Sielska, D., et al. 2009. Phenotype modifiers of spinal muscular atrophy: the number of SMN2 gene copies, deletion in the NAIP gene and probably gender influence the course of the disease. Acta Biochim Pol 56: 103-108.
- 7. Lefebvre, S., Bürglen, L., Reboullet, S., Clermont, O., Burlet, P., Viollet, L., et al. 1995. Identification and characterization of a spinal muscular atrophy-determining gene. Cell 80: 155–165.
- 8. Lin, C., Aebersold, R.H., Kent, S.B., Varma, M., and Leavitt, J. 1988. Molecular cloning and characterization of plastin, a human leukocyte protein expressed in transformed human fibroblast. Mol Cell Biol 8: 4659-4668.
- 9. Lin, C., Aebersold, R.H., and Leavitt, J. 1990. Correction of the N-terminal sequences of the human plastin isoforms by using anchored polymerase chain reaction: Identification of a potential calcium-binding domain. Mol Cell Biol 10: 1818-1821.
- Lyon, A.N., Pineda, R.H., Hao, I.T., Krudyashova, E., Krudyashov, D.S., and Beattie, C.E. 2014. Calcium binding is essential for plastin 3 function in Smn-deficient motoneurons. Hum Mol Genet 23: 1990-2004.
- 11. Munsat, T.L., and Davies, K.E. 1992. Meeting Report International SMA Consortium Meeting. Neuromusc Disord 2: 423-428.
- 12. Noguchi, Y., Onishi A., Nakamachi, Y, Hayashi, N., Harahap, N.I.F, Ar Rochmah, M., et al. 2016. Telomeric region of the spinal muscular atrophy is susceptible to structural variations. Ped Neu 58: 83-89.
- 13. **Oprea, G.E., Kröber, S., McWhorter, M.L., Rossoll, W., Müller, S., Krawczak, M., et al.** 2008. Plastin 3 is a protective modifier of autosomal recessive spinal muscular atrophy. Science **320**: 524-527.
- 14. Roy, N., Mahadevan, M.S., McLean, M., Shutler, G., Yaraghi, Z., Farahani, R., et al. 1995. The gene for neuronal apoptosis inhibitory protein is partially deleted in individuals with spinal muscular atrophy. Cell 80: 167-178.
- 15. Tran, V.K., Sasongko, T.H., Hong, D.D., Hoan, N.T., Dung, V.C., Lee, M.J., et al. 2008. SMN2 and NAIP gene dosages in Vietnamese patients with spinal muscular atrophy. Pediatr Int 50: 346-351.
- Van der Steege, G., Grootscholten, P.M., Van der Vlies, P., Draaijers, T.G., Osinga, J., Cobben, J.M., Scheffer, H., et al. 1995. PCR-based DNA test to confirm clinical diagnosis of autosomal recessive spinal muscular atrophy. The Lancet 345: 985-986.
- 17. Yamamoto, T., Sato, H., Lai, P.S., Nurputra, D.K., Harahap, N.I., Morikawa, S., et al. 2014. Intragenic mutations in SMN1 may contribute more significantly to clinical severity than SMN2 copy numbers in some spinal muscular atrophy (SMA) patients. Brain Dev 36: 914-920.
- 18. Zerres, K., and Rudnik-Schöneborn, S. 1995. Natural history in proximal spinal muscular atrophy. Arch Neurol 52: 518-523.